

# Theory and Design of Turbo and Related Codes

## *Lecture 13*

*Jossy Sayir & Gottfried Lechner*

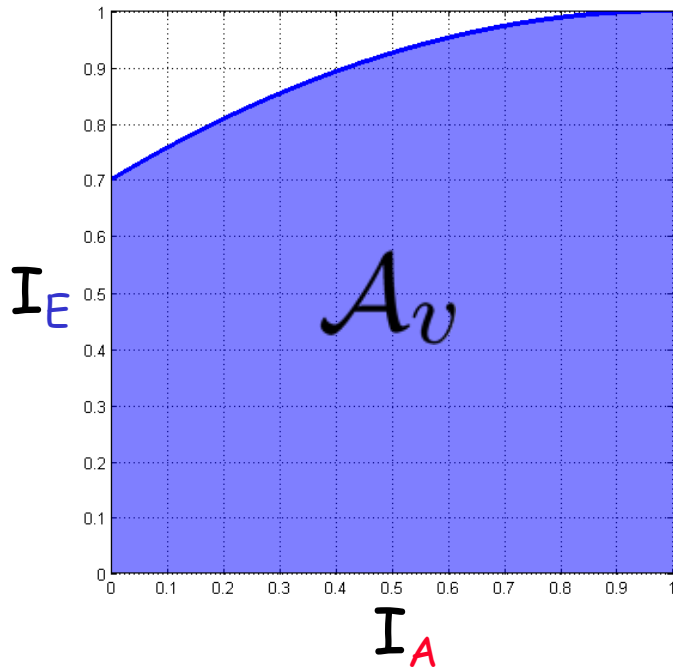
<http://userver.ftw.at/~jossy/turbo/index.html>

- Extrinsic Channel Model
- EXIT Chart of Regular LDPC Codes
- EXIT Charts for Mixtures / Irregular LDPC Codes
- Area Property
- Code Design via Curve Fitting

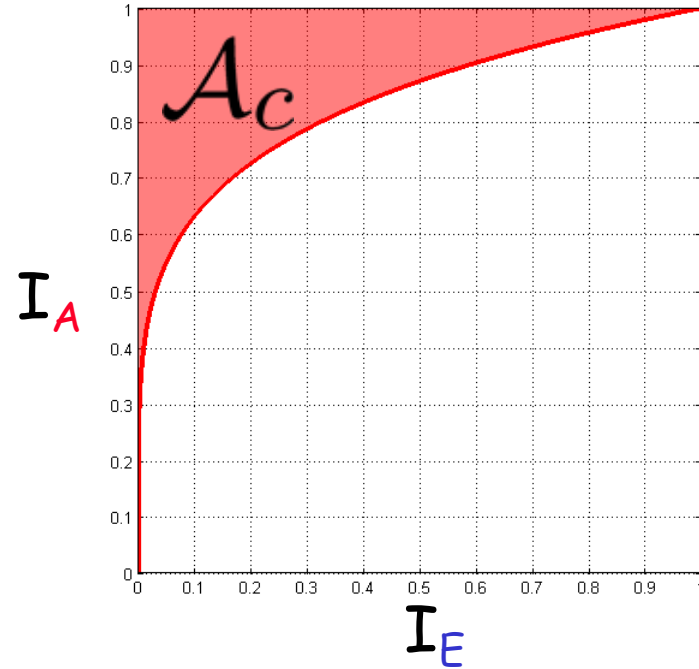
# Area of LDPC Component Codes



$$A_v = 1 - \frac{1 - C}{d_v}$$



$$A_c = \frac{1}{d_c}$$



$$R = 1 - \frac{d_v}{d_c} = 1 - \frac{1 - C}{\gamma} = \frac{C - (1 - \gamma)}{\gamma} < C$$

If  $\gamma \rightarrow 1$  we can transmit at rates that approach capacity.  
If  $\gamma < 1$  we are bounded from capacity.

$$\gamma \rightarrow 1 \text{ means that } 1 - A_v = A_c$$

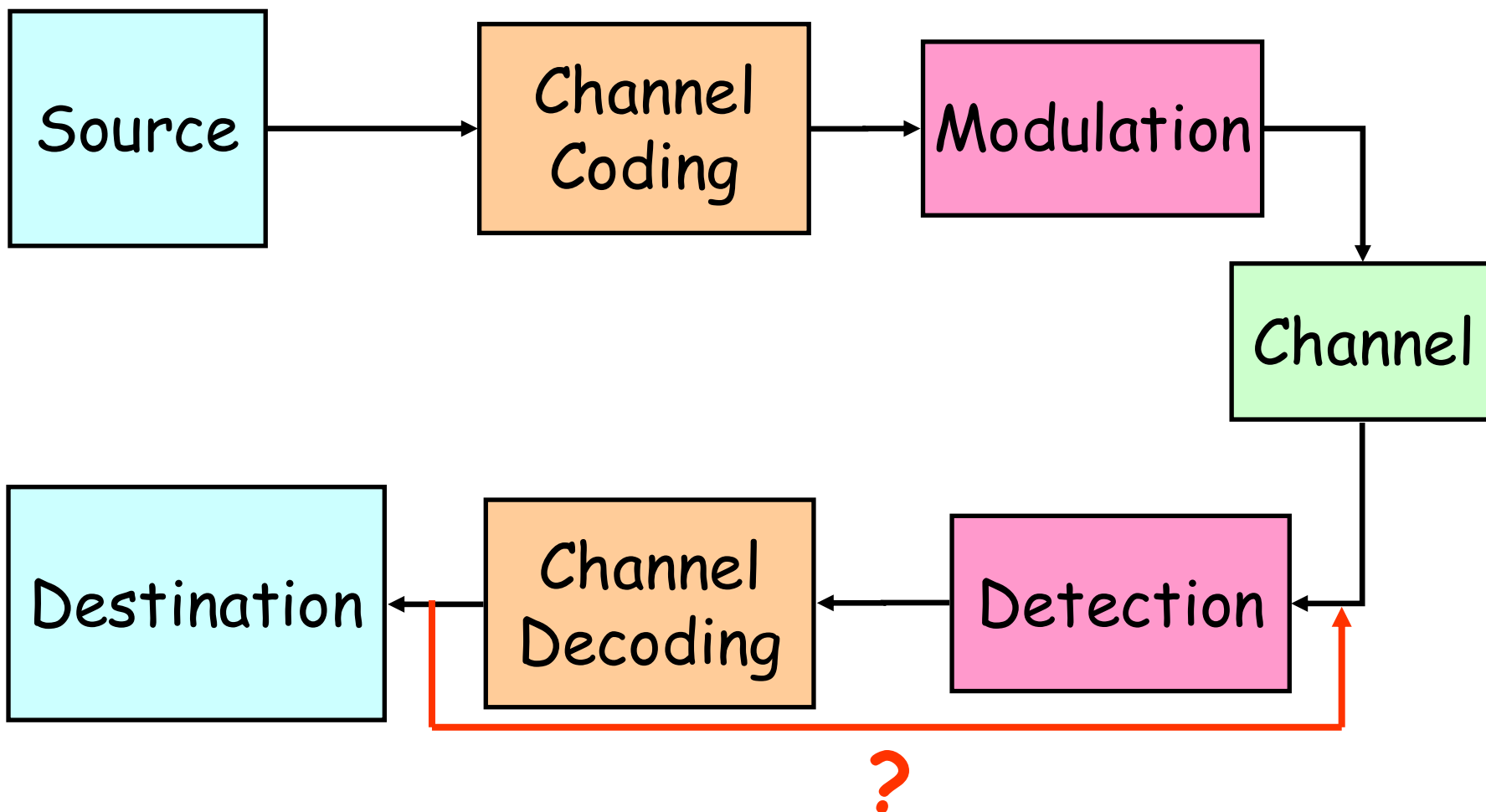
Furthermore, the curves must not intersect.

$\Rightarrow$  The curves have to be matched.

Code design reduces to curve fitting!

- Area Property is exact only for the Binary Erasure Channel
- Nonetheless, we use curve fitting on EXIT charts to design codes for other channels with success

# Code Design for Modulation and Detection



# Code Design for Modulation and Detection



- No iteration over the detector
  - design code optimally for the “channel”
  - !!! detection loss cannot be compensated for...
  
- With iteration over the detector
  - the detector is part of the iterative decoder
  - !!! the code must be designed for the detector

# Code Design for Modulation and Detection

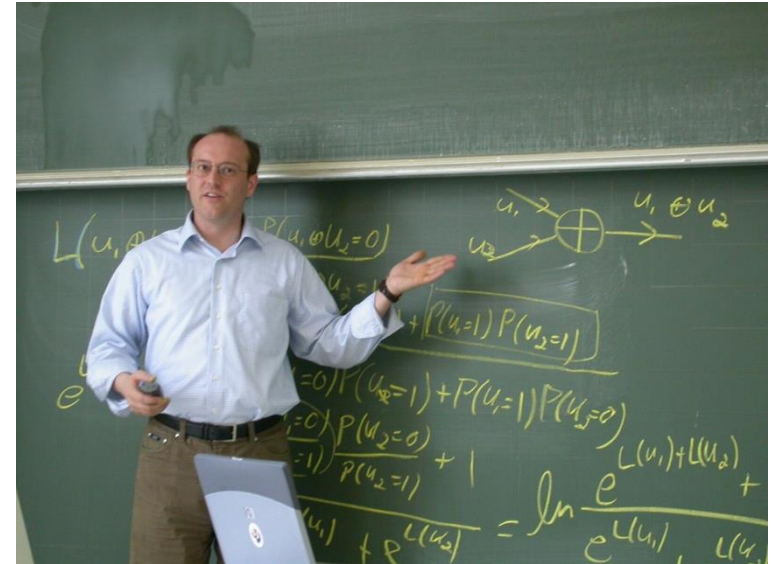
"Design of Low-Density Parity-Check Codes for Modulation and Detection", ten Brink, Kramer & Ashikhmin

"Design of Repeat-Accumulate Codes for Iterative Detection & Decoding", ten Brink & Kramer

Stephan ten Brink invented EXIT charts as part of his PhD thesis at the University of Stuttgart



Stephan in Vienna



Gerhard lecturing at TU Wien



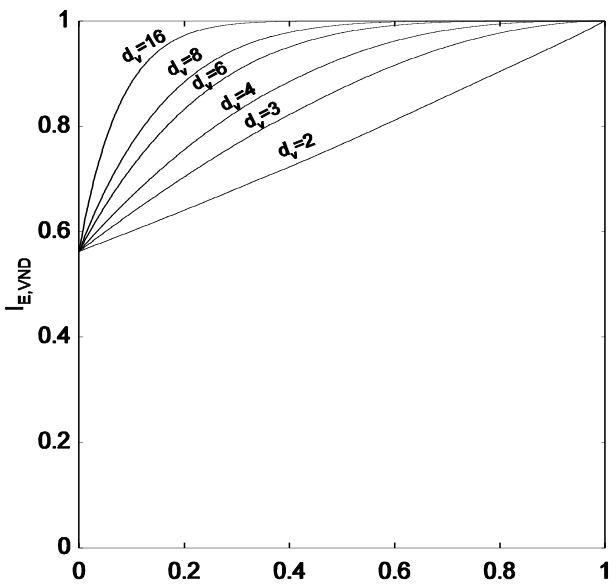
- J-function:  $C_{AWGN} = J(\sigma_{ch})$   
(defined in Lecture 11)
- VND:  $I_E(I_A) = J\left(\sqrt{(d_v - 1)[J^{-1}(I_A)]^2 + \sigma_{ch}^2}\right)$

(applying information combining as in lecture 11)

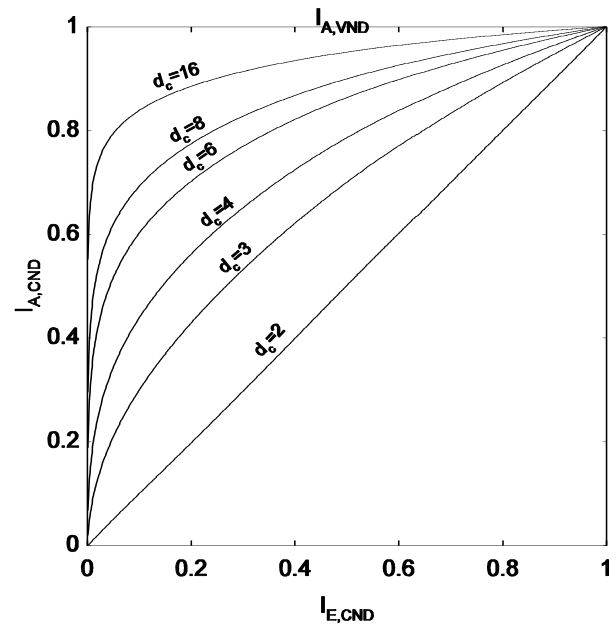
- CND:  $I_E(I_A) \approx 1 - J\left(\frac{J^{-1}(1 - I_E)}{\sqrt{d_c - 1}}\right)$

(based on duality approximation, see Ashikhmin, ten Brink & Kramer "Extrinsic Transfer Functions: Model and Erasure Channel Properties")

# AWGN Channels

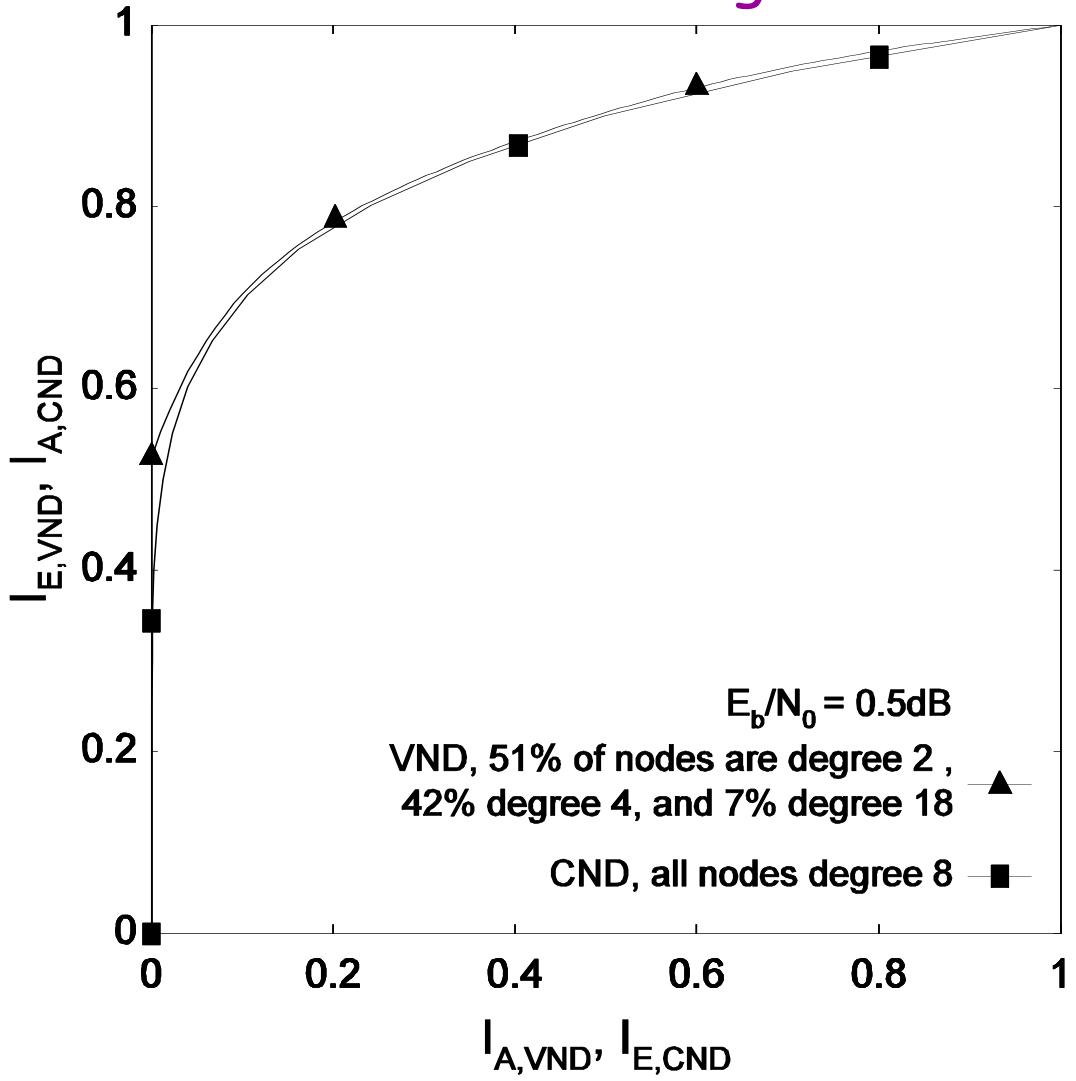


Variable Nodes



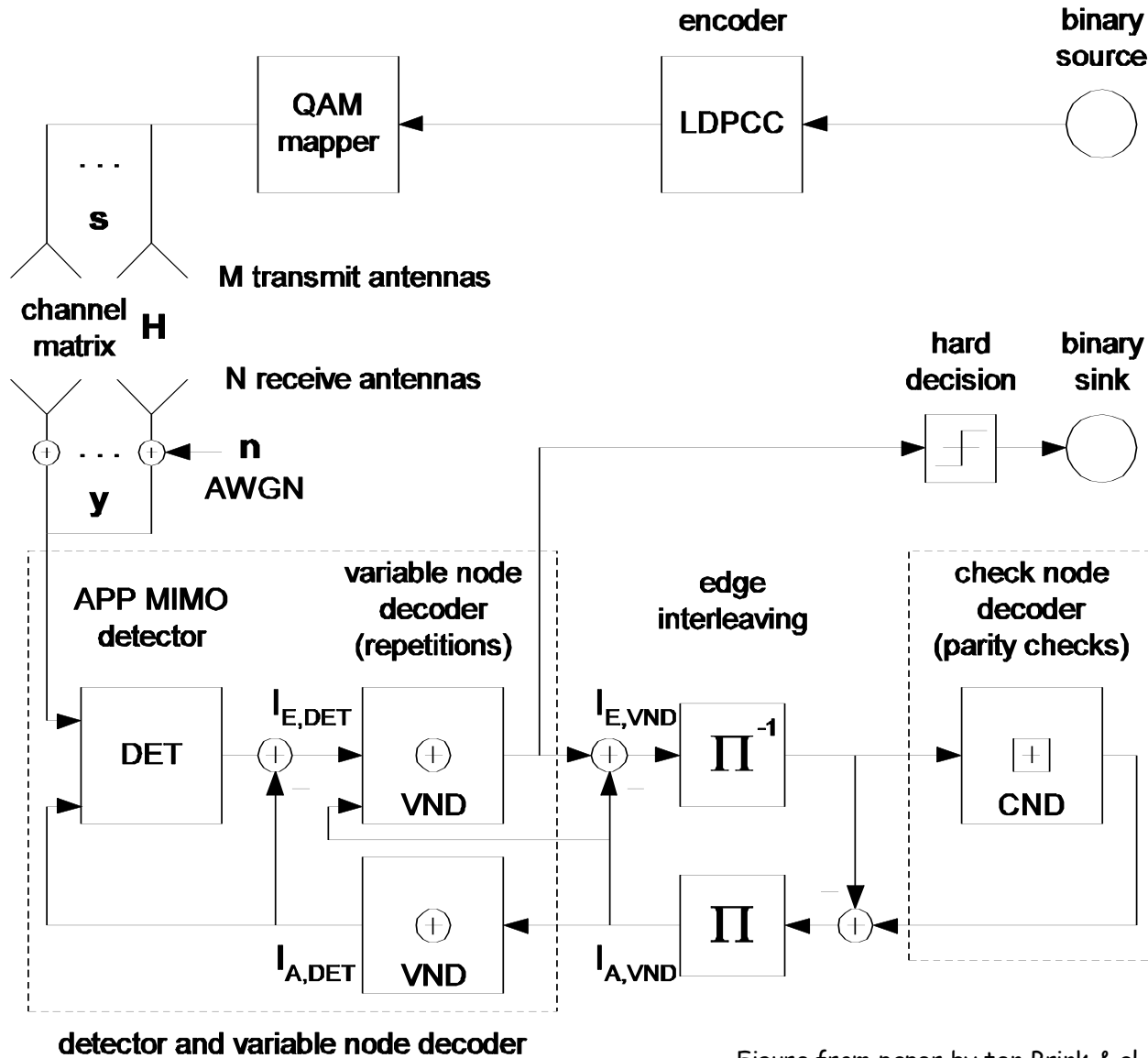
Check Nodes

Curve fitting



Figures from paper by ten Brink & al.

# MIMO Fading Channels



The detector becomes part of the variable node decoder!

Figure from paper by ten Brink & al.

- CND transfer curve remain unchanged

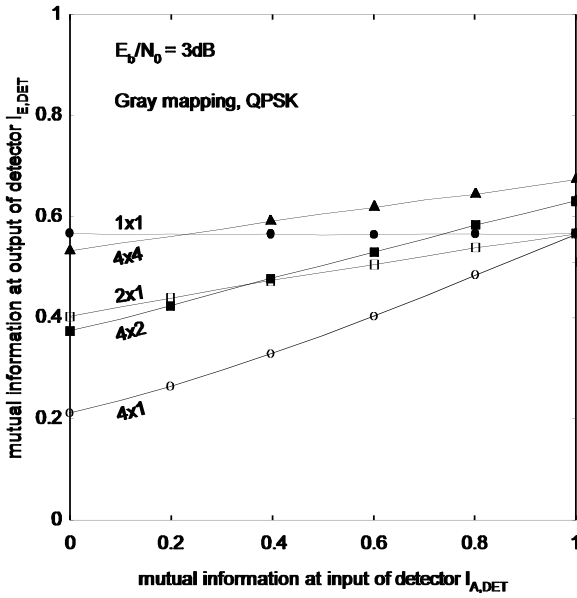
- VND transfer curves are computed by cascading

$$I_{A1} \rightarrow I_{A2} = J \left( \sqrt{d_v} J^{-1}(I_{A1}) \right) \rightarrow I_{A3} = I_{E,DET}(I_{A2})$$

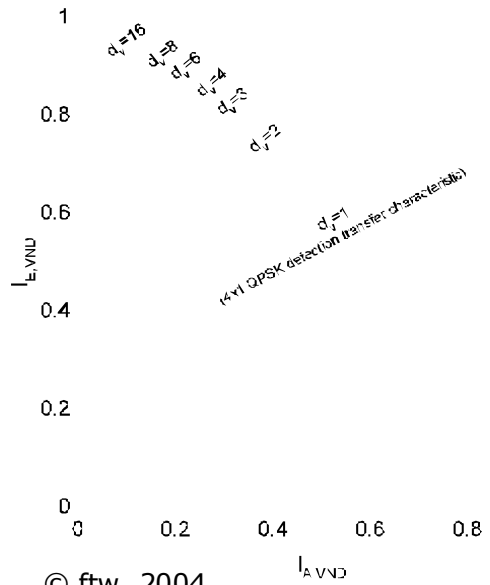
$$\rightarrow I_E = J \left( \sqrt{(d_v - 1)[J^{-1}(I_{A1})]^2 + [J^{-1}(I_{A3})]^2} \right)$$

- $I_{E,DET}(I_{A,DET})$  can only be measured by simulation. For the curve fitting (tuning the variable node degrees), it is convenient to approximate the transfer curve of the detector by a polynomial

# MIMO Fading Channels

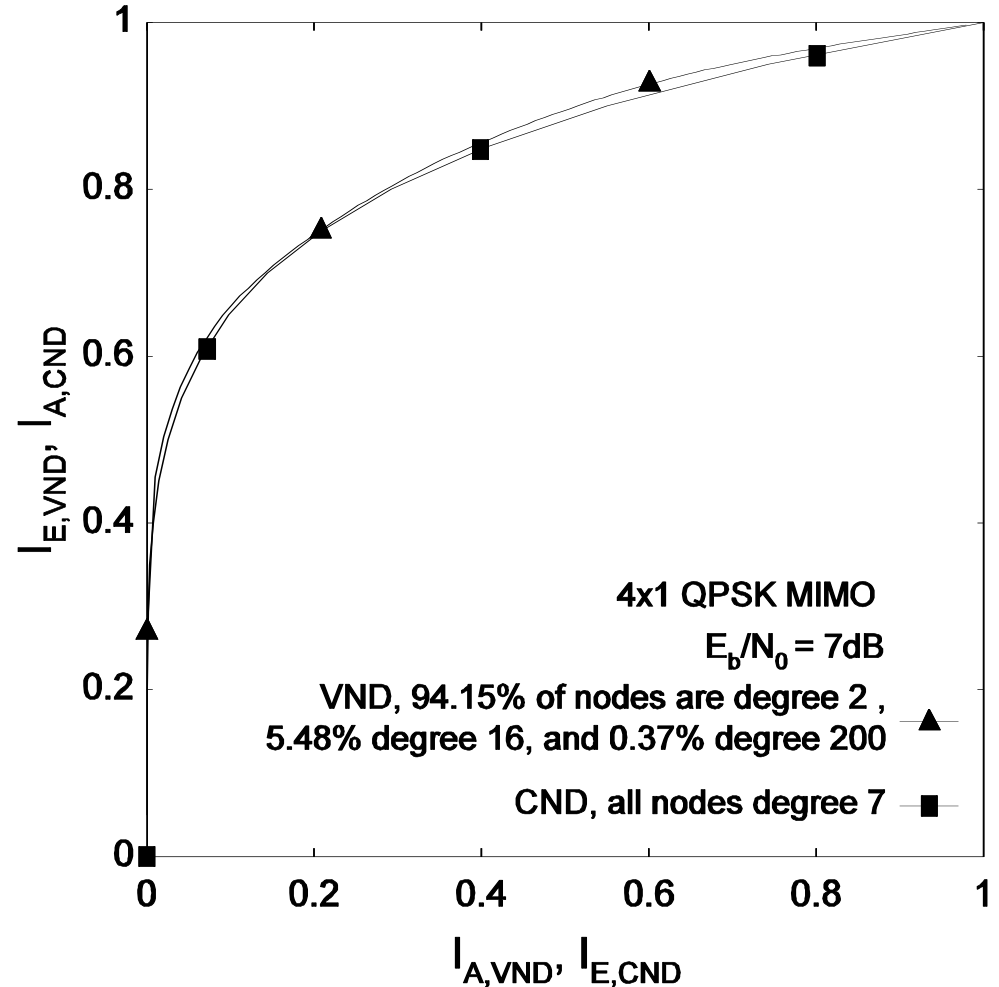


Detector Curves



Combined Curves

## Curve fitting for MIMO

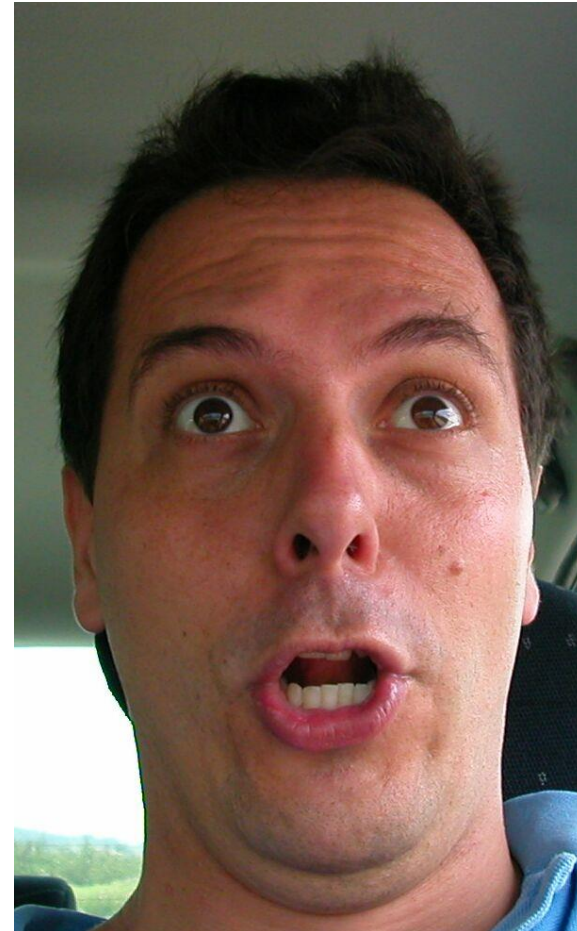


Figures from paper by ten Brink & al.

- 1.25 dB from the Ergodic Capacity of MIMO fading channels
- Up to 6 dB better than iterative turbo coding & detection
- 6-8 dB better than space-time coding based approaches
- Similar design achieve comparable results based on Repeat-Accumulate Codes

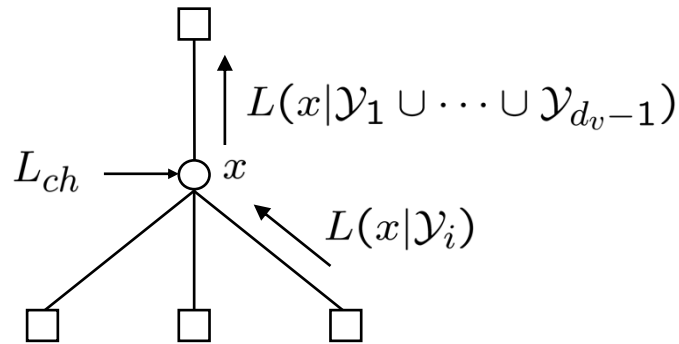
# Sub-optimal Decoders

"Improved Sum-Min Decoding of LDPC Codes",  
Lechner & Sayir, to be presented at ISITA 2004



# Sum-Product Algorithm

## Variable Nodes

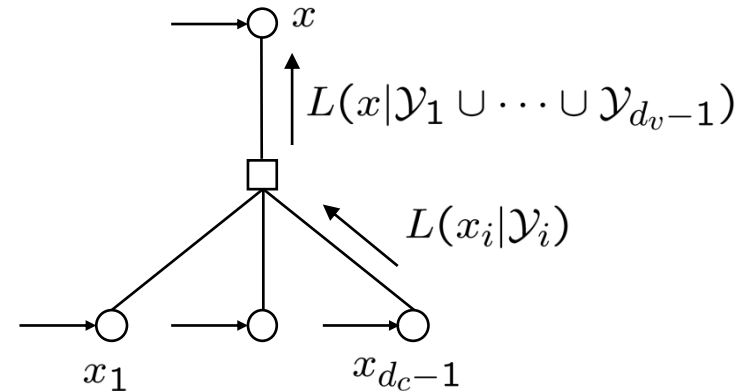


Repeat Code

$$\begin{aligned}
 L(x|\mathcal{Y}_1 \cup \dots \cup \mathcal{Y}_{d_v-1}) &= \\
 &= L_{ch} + \sum_i L(x|\mathcal{Y}_i)
 \end{aligned}$$

Just a sum... Easy.

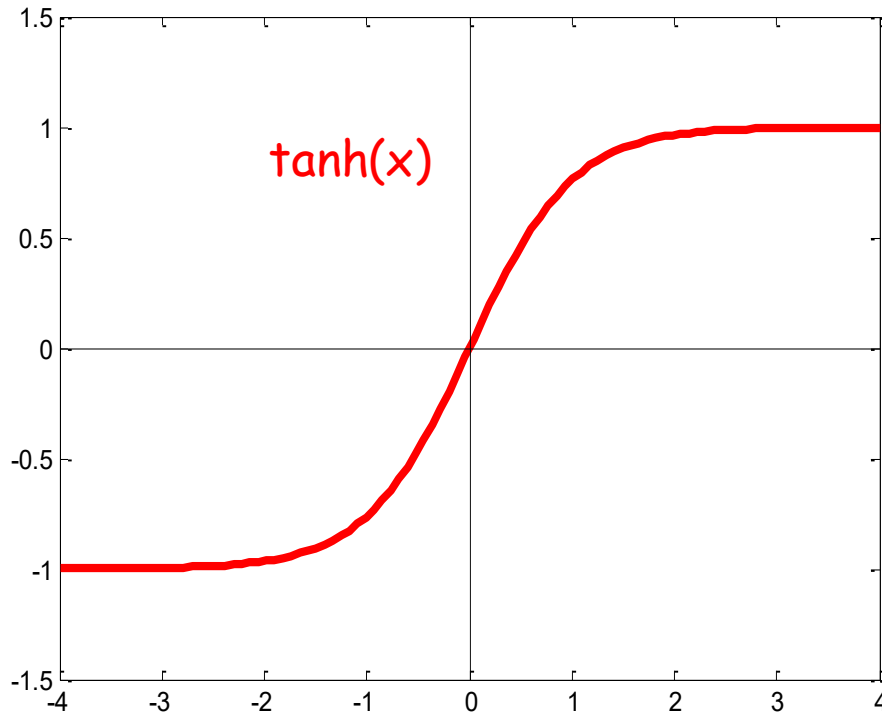
## Check Nodes



Single Parity-Check Code

$$\begin{aligned}
 L(x|\mathcal{Y}_1 \cup \dots \cup \mathcal{Y}_{d_v-1}) &= \\
 &= 2 \cdot \tanh^{-1} \left[ \prod_i \tanh \frac{L(x_i|\mathcal{Y}_i)}{2} \right]
 \end{aligned}$$

Complicated!!!!

$\tanh^{-1} \prod \tanh x_i$ 

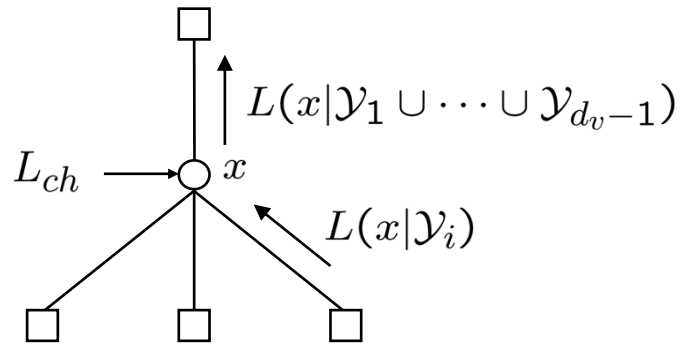
→ the large  $x_i$  will have little impact on the product

→ the product is well approximated by:

$$\tanh^{-1} \tanh(\min x_i) = \min x_i$$

# Sum-Min Algorithm

## Variable Nodes

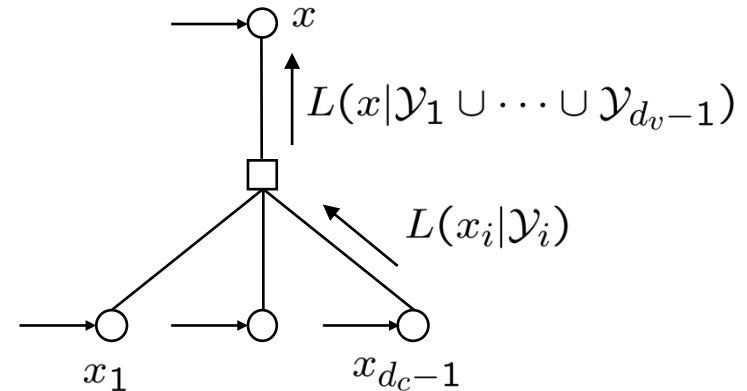


Repeat Code

$$\begin{aligned}
 L(x|\mathcal{Y}_1 \cup \dots \cup \mathcal{Y}_{d_v-1}) &= \\
 &= L_{ch} + \sum_i L(x|\mathcal{Y}_i)
 \end{aligned}$$

Same as before...

## Check Nodes

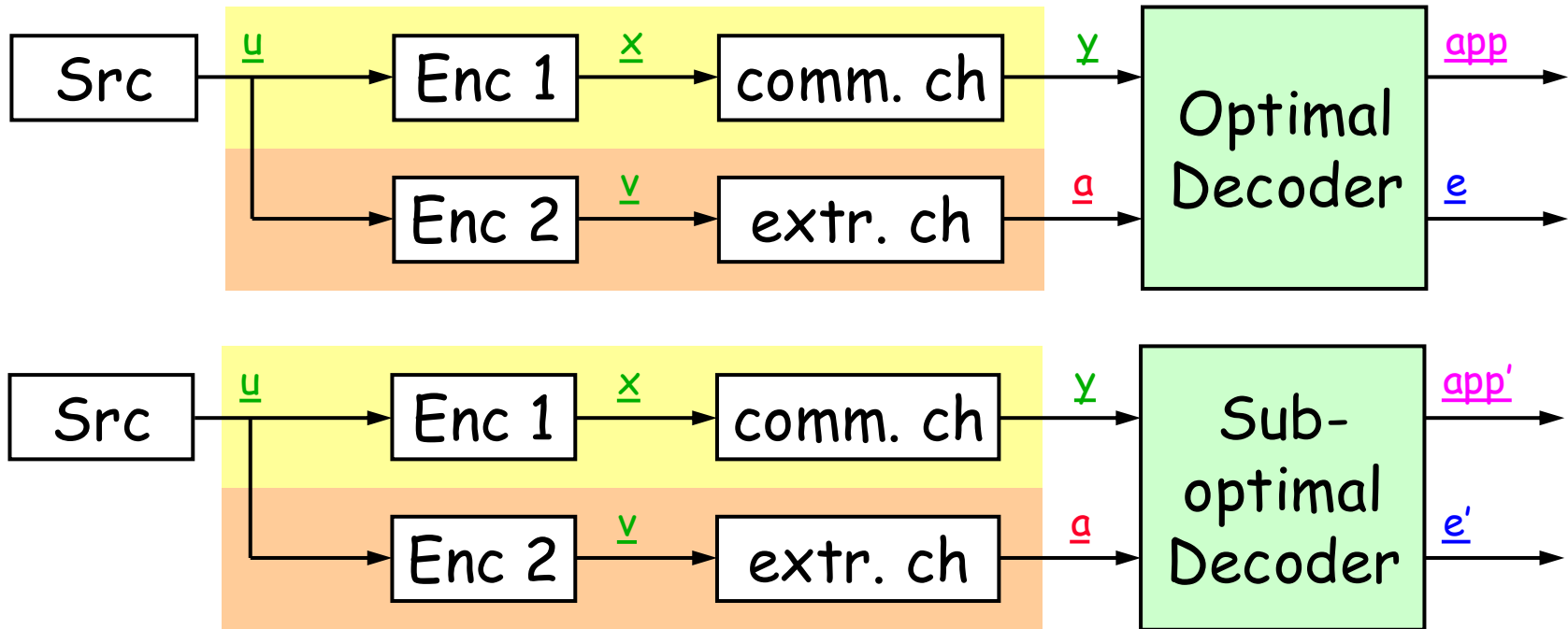


Single Parity-Check Code

$$\begin{aligned}
 L(x|\mathcal{Y}_1 \cup \dots \cup \mathcal{Y}_{d_v-1}) &= \\
 &\approx \min_i L(x_i|\mathcal{Y}_i)
 \end{aligned}$$

Simplified.

# Good News...

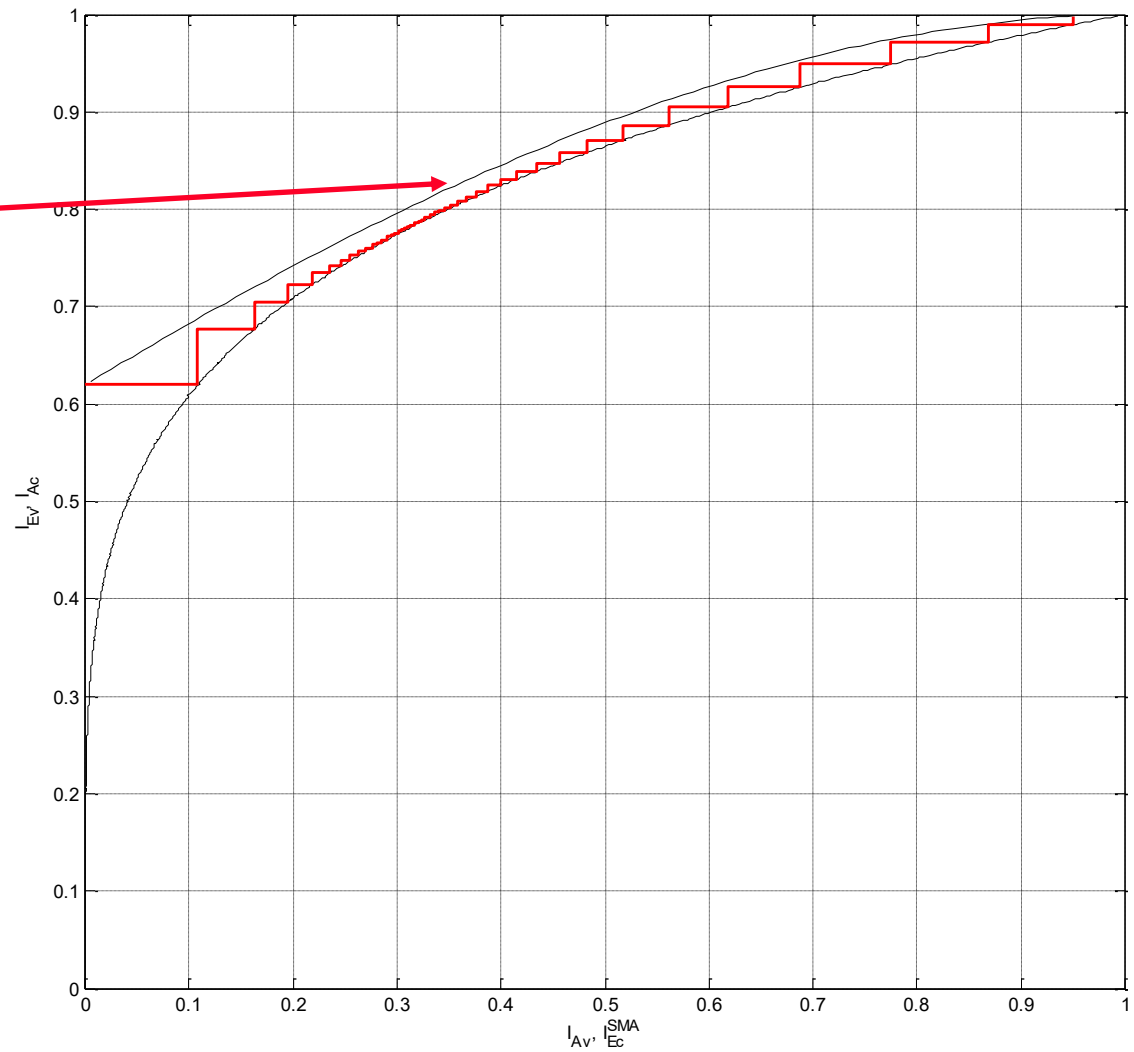


$$I(\underline{v} ; \underline{app}) = I(\underline{v} ; \underline{a} \underline{y}) > I(\underline{v} ; \underline{app}')$$

But : the check-node EXIT function (measured by simulation) of the **min** is only negligibly lower than that of the **arctanh<sup>-1</sup>**!

# Bad News...

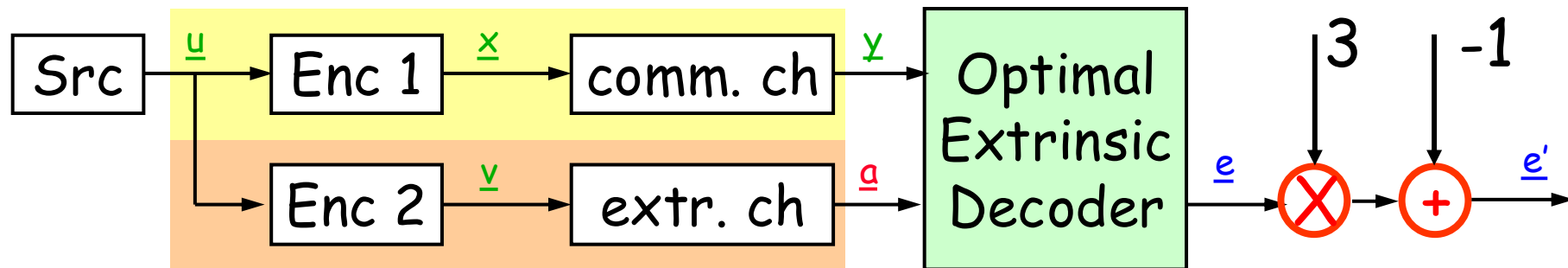
the trajectory  
is not on the  
check node  
curve...



- The **performance** of the sum-min algorithm is far **worse** than that predicted by **EXIT** chart analysis
- **Density Evolution** predicts the bad performance of the sum-min algorithm **accurately**

- are **EXIT** charts **unsuitable** for sub-optimal decoders?
- is **something wrong** with the **sum-min algorithm**?

# Something to think about...



→ the resulting decoder is not optimal anymore

→ On the other hand, the mutual information remains unaffected by these transformations, i.e.,  $I(\underline{v}; \underline{e}) = I(\underline{v}; \underline{e}')$

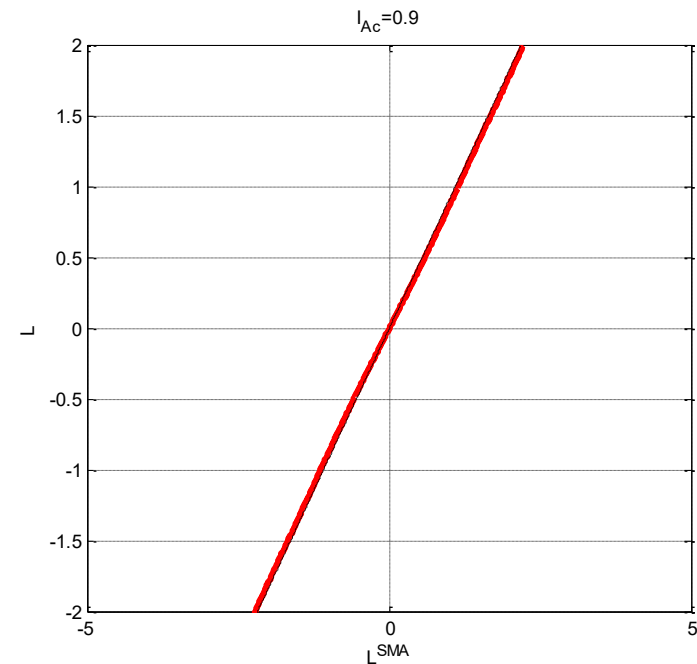
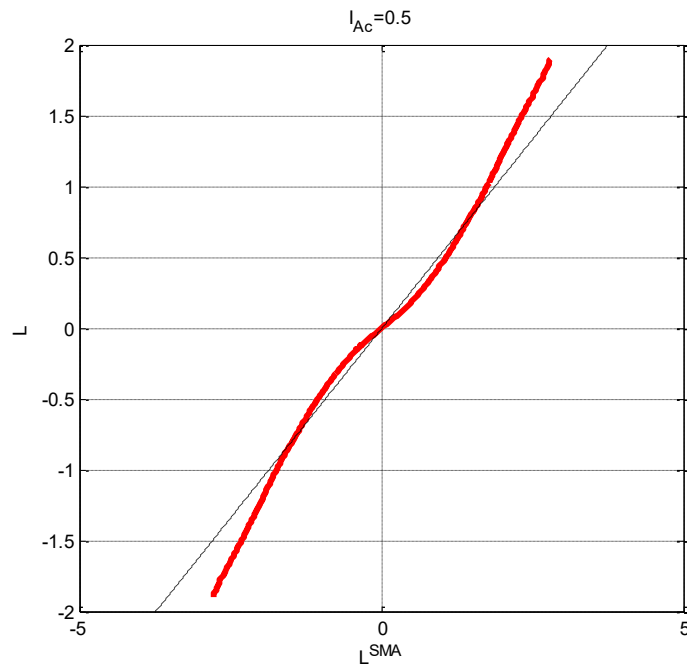
# The problem with the sum-min algorithm

- Something similar is happening in our sum-min algorithm
- The min has almost as much mutual information to the code bits as  $\text{arctanh}^{-1}$  has the  $\text{arctanh}^{-1}$
- However, the  $\text{arctanh}^{-1}$  is an L-value, while the min is not!
- We need to apply a post-processing real-valued function to the min before forwarding it to the variable node!

# Post-processing

We compute an L-value based on the min:

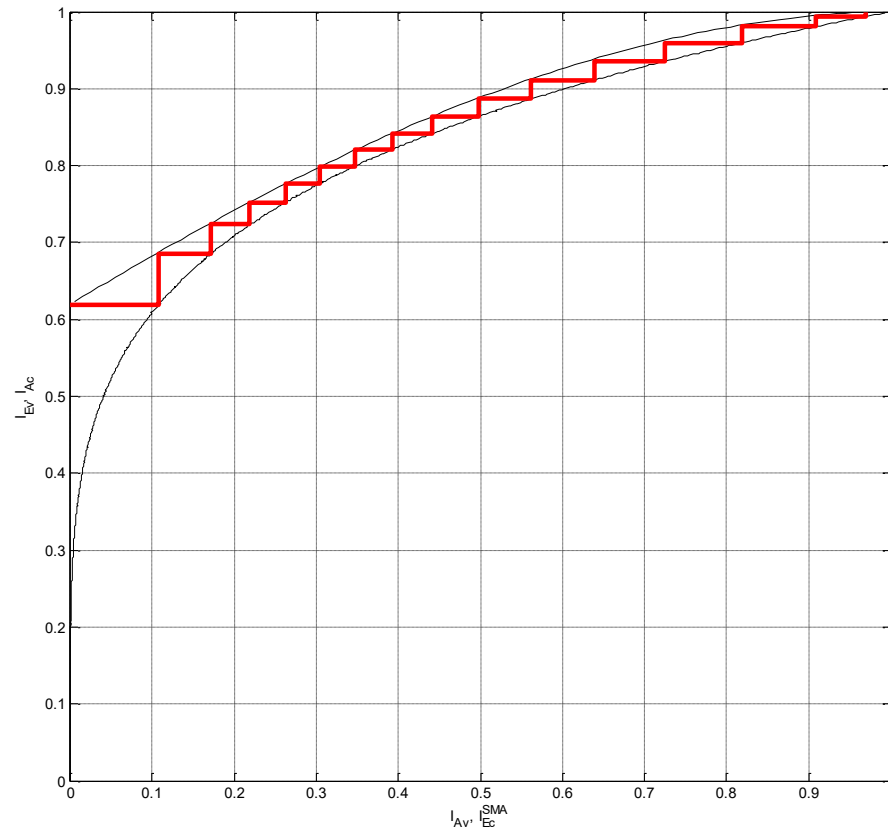
$$L(x|\min L_a(x)) = \log \frac{[p(z) + p(-z)] \cdot [\phi_+(z) + \phi_-(z)]^{d_c-2} + [p(z) - p(-z)] \cdot [\phi_+(z) - \phi_-(z)]^{d_c-2}}{[p(z) + p(-z)] \cdot [\phi_+(z) + \phi_-(z)]^{d_c-2} - [p(z) - p(-z)] \cdot [\phi_+(z) - \phi_-(z)]^{d_c-2}}$$



Postprocessing functions for  $I_a = 0.5$  and for  $I_a = 0.9$

Postprocessing **almost** linear...

# With post-processing

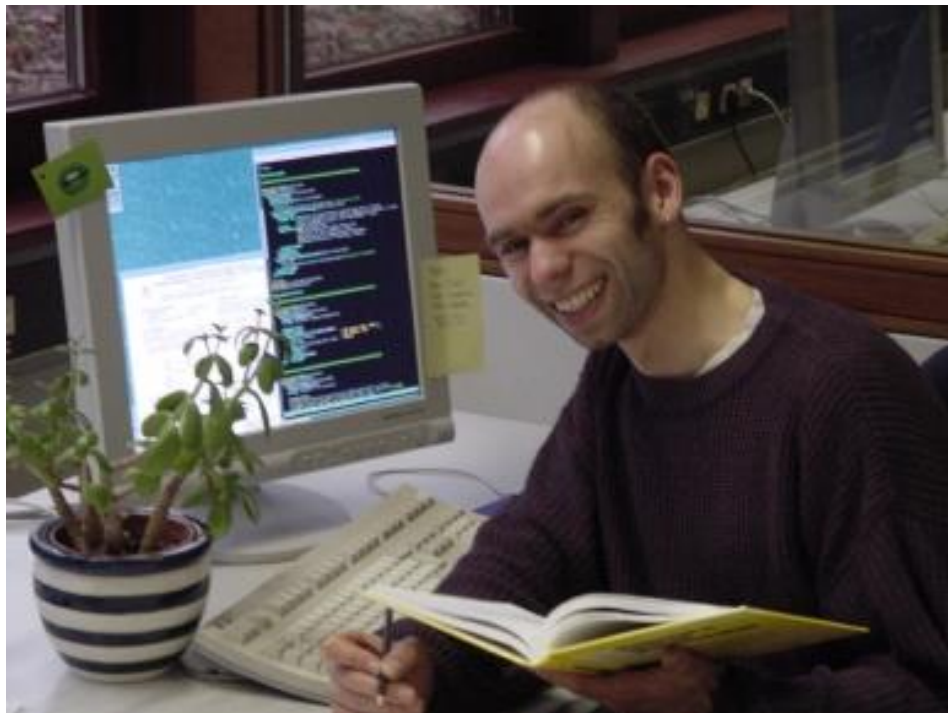


Performance of **Sum-Min** almost **as good** to performance of **Sum-Product** (0.1 dB loss)

# Information Combining



Ingmar Land came up with information combining as a way to bound EXIT curves for general binary-input symmetric channels. He is about to finish his PhD at Uni Kiel with Prof. P. Hoeher.



Ingmar combining information

# Information Combining Bounds



Pick a card at random. The card will have one topic from each row in the following table:

1.	Linear Codes	Information Theory	LDPC Codes	Easy
2.	Turbo Codes	EXIT Charts	LDPC Code Design	Medium
3.	Joker			Medium to difficult

You may leave out 1 of the 3 topics. The exam will last 30 minutes, i.e., 15 minutes per topic.

Joker: read one paper in the following page and discuss its contents for 15 minutes.

# List of papers



Please pick 1 (and only 1) of the following papers and read it if you wish to prepare for the joker exam question.

Richardson & Urbanke, "The Capacity of Low-Density Parity-Check Codes Under Message-Passing Decoding"	Information-Theory Transactions, September 2001	Density Evolution explained. MEDIUM.
Luby, Mitzenmacher, Shokrollahi, "Analysis of Random Processes via And-Or Tree Evaluation"	<a href="http://www.eecs.harvard.edu/~michaelm/NEWWORK/postscripts/andor-conf.pdf">http://www.eecs.harvard.edu/~michaelm/NEWWORK/postscripts/andor-conf.pdf</a>	Convergence analysis for the BEC, MEDIUM.
Kshischang, Frey & Loeliger, "Factor Graphs and the Sum-Product Algorithm",	Information-Theory Transactions, September 2001	Everything you always wanted to know about factor graphs. LONG.
Ashikhmin, Kramer & ten Brink, "Extrinsic Information Transfer Functions: Model and Erasure Channel Properties"	<a href="http://cm.bell-labs.com/cm/ms/who/gkr/">http://cm.bell-labs.com/cm/ms/who/gkr/</a>	EXIT charts explained for the BEC. EASY.
ten Brink & Kramer, "Design of Low-Density Parity-Check Codes for Modulation and Detection"		EXIT chart design for MIMO fading channels. MEDIUM.
ten Brink & Kramer, "Design of Repeat-Accumulate Codes for Iterative Detection and Decoding"		EXIT chart design of RA codes. MEDIUM.
Land, Huettinger, Hoehner & Huber, "Bounds on information combining"	<a href="http://www-Ins.tf.uni-kiel.de/ict/il/publications.html">http://www-Ins.tf.uni-kiel.de/ict/il/publications.html</a>	The theory behind bounds on the EXIT curves. MEDIUM.
Lechner & Sayir, "Improved Sum-Min Decoding of LDPC Codes"	email <a href="mailto:sayir@ftw.at">sayir@ftw.at</a> or <a href="mailto:lechner@ftw.at">lechner@ftw.at</a>	Sub-optimal decoding and EXIT charts. MEDIUM.

**Thank you**  
**for attending**  
**our lecture**  
**Jossy & Gottfried**